SEC Form 4
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Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB	APF	RO	VAI

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Filed pursuant to Section 16(a) of the Securities Exchange	e Act of 1934
or Section 30(h) of the Investment Company Act of	

			2. Issuer Name <b>and</b> Ticker or Trading Symbol BioAtla, Inc. [ BCAB ]		ationship of Reportir k all applicable) Director	ng Pers X	on(s) to Issuer 10% Owner
(Last) (Eirst) (Middle)		,	3. Date of Earliest Transaction (Month/Day/Year) 10/31/2023	X	Officer (give title below) Chief Exec	utive (	Other (specify below) Officer
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line) X	vidual or Joint/Grou Form filed by On	0	
SAN DIEGO	CA (Stoto)	92121			Form filed by Mo Person	re than	One Reporting
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication			en plan	that is intended to

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Disposed O 5)	s Acquire f (D) (Insi	ed (A) or tr. 3, 4 and	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)					
Common Stock	10/31/2023		<b>F</b> <sup>(1)</sup>		2,888	D	\$1.47	1,389,283	D				
Common Stock								793,547	Ι	By Spouse			
Common Stock								258,727	I	By Carolyn Short 2020 Irrevocable Gift Trust			
Common Stock								258,727	I	By Jay Short 2020 Irrevocable Gift Trust			
Common Stock								302,324	I	By Capia IP, LLC			
Common Stock								50	Ι	By Himalaya Parent LLC <sup>(2)</sup>			

								L							
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
Derivative Conversion Date Executive or Exercise (Month/Day/Year) if		a. Transaction Date   3A. Deemed Execution Date, (Month/Day/Year)   3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Code (Instr. 6) Code (Instr. 7) Code (Instr. 8) Code (Instr.		ative rities ired osed . 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Ownership of In Securities Form: Beneficially Direct (D) Own	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This transaction is not a sale of shares by the Reporting Person. Instead, this represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection with the vesting and net settlement of previously reported restricted stock units.

2. The Reporting Person and his spouse are the managers of Himalaya Parent LLC and collectively make investment decisions on the behalf of the entity. The Reporting Person and his spouse disclaim beneficial ownership of the reported securities except to the extent of his or her pecuniary interest therein.

## **Remarks:**

/s/ Christian Vasquez, as Attorney-in-Fact for Jay M. 1 Short

11/01/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.