SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

· · · · · · · · · · · · · · · · · · ·											
1. Name and Address of Reporting Person [*] <u>WALDRON RICHARD A</u>				uer Name and Ticke <u>Atla, Inc.</u> [BC	0	Symbol	(Check	tionship of Reportin all applicable) Director Officer (give title	Issuer Dwner (specify		
(Last) C/O BIOATLA	(First) , INC. 11085	(Middle) TORREYANA ROAD	01/31	e of Earliest Transa L/2022	iction (Month/	Day/Year)		below) below Chief Financial Officer			
(Street)				mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filing (Check Applicable			
SAN DIEGO	CA	92121					X	Form filed by On Form filed by Mo	1 0		
(City)	(State)	(Zip)						Person			
		Table I - Non-Deriva	ative S	ecurities Acq	uired, Disp	osed of, or Bene	ficially	Owned			
1. Title of Security (Instr. 3) Date (Month/Da				2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A Disposed Of (D) (Instr. 3 5)		5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial	

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)		5)				(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(IIISU. 4)	
Common Stock	01/31/2022		F ⁽¹⁾		1,150	D	\$ 9.56	132,444 ⁽²⁾	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		piration Date Amount of onth/Day/Year) Securities			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This transaction is not a sale of shares by the Reporting Person. Instead, this represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection with the vesting and net settlement of previously reported restricted stock units.

2. Includes shares purchased under the Issuer's ESPP Plan.

Remarks:

<u>/s/ Christian Vasquez, as</u>

** Signature of Reporting Person

Attorney-in-Fact for Richard 02/02/2022 A. Waldron

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.