SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Smith Scott Andrew			2. Issuer Name and Ticker or Trading Symbol BioAtla, Inc. [BCAB]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Sillui Scou</u>	Anurew			<u></u> []		X	Director	10% 0	Owner				
(Last) C/O BIOATLA	(First) ., INC. 11085 TC	(Middle) DRREYANA ROA	06/1	3. Date of Earliest Transaction (Month/Day/Year) 06/14/2021			x	X Officer (give title Other (specify below) President					
(Street)	<u> </u>	02121	4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)			Line)	,					
SAN DIEGO	CA	92121					X Form filed by One Reporting Person						
(City)	(State)	(Zip)	_					Form filed by More than One Reporting Person					
	Та	able I - Non-Der	ivative S	Securities Acq	uired,	Dis	posed of,	or Ber	eficially	/ Owned			
1. Title of Security (Instr. 3) 2. Transa Date (Month/E		nsaction h/Day/Year)	Execution Date, Transaction Disposed Of (D) (Instr. 3,			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)	
Common Stock		06/	14/2021		J ⁽¹⁾		34,963	A	\$0.00	387,963	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Conversion Transaction Derivative Execution Date. Ownership Date Expiration Date Amount of Derivative derivative of Indirect of or Exercise Price of Security (Instr. 3) (Month/Day/Year) if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Security (Instr. 5) Securities Form: Direct (D) Beneficial 8) Securities Acquired Beneficially Ownership (Instr. 4) Underlying Derivative or Indirect Derivative Owned Security (A) or Security (Instr. 3 and 4) Following Reported (I) (Instr. 4) Disposed of (D) (Instr. 3, 4 . Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date of Title Code v (A) (D) Exercisable Date Shares

Explanation of Responses:

1. Distribution of the Issuer's shares of Common Stock by Himalaya Parent LLC, of which the reporting person is a member, for no consideration.

Remarks:

<u>/s/ Christian Vasquez, as</u>
Attorney-in-Fact for Scott
Andrew Smith

<u>cott</u> <u>06/16/</u>2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.