SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				uer Name and Tick Atla, Inc. [BC	•	Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
STEINMAN LAWRENCE				<u></u> [20]		X	Director	10% 0	Owner			
(Last) C/O BIOATLA	(First) , INC. 11085 TO	(Middle) RREYANA ROA	09/1	te of Earliest Trans 5/2021	action (Month/	'Day/Year)		Officer (give title below)	Other below	(specify)			
,			4. If A	mendment, Date o	f Original Filed	d (Month/Day/Year)		/idual or Joint/Grou	p Filing (Check	Applicable			
(Street)							Line)	Form filed by On	e Reporting Per	son			
SAN DIEGO	CA	92121	_					Form filed by Mo Person					
(City)	(State)	(Zip)											
	Ta	ble I - Non-De	rivative S	Securities Acq	uired, Dis	posed of, or Benef	icially	Owned					
1. Title of Security (Instr. 3) 2. Transact Date (Month/Day			nsaction h/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			

		(Month/Day/Year)	8)					Owned Following Reported		Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	09/15/2021		S ⁽¹⁾		450	D	\$ <mark>36.8</mark> 2	18,909	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Ex Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Da		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. Sale effected under sales plan pursuant to Rule 10b5-1(c)(1) of the Securities Exchange Act of 1934, as amended.

Remarks:

<u>/s/ Chris Vasquez, as</u>

Attorney-in-Fact for Lawrence 09/17/2021

<u>Steinman</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.