FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |  |
|-------------|------|-------|--|
|-------------|------|-------|--|

| Check this box if no longer subject |
|-------------------------------------|
| o Section 16. Form 4 or Form 5      |
| bligations may continue. See        |
|                                     |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |     |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |     |  |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Sievers Eric        |  |            |                          | 2. Issuer Name and Ticker or Trading Symbol BioAtla, Inc. [ BCAB ]                            |   |  |                  |  |                    |                                     |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner                   |                                   |  |  |                            |   |                 |            |
|---|--|------------|--------------------------|---|---|--|------------------|--|--------------------|-------------------------------------|---|--|-----------------------------------|--|--|----------------------------|---|-----------------|------------|
| (Last)  | (Fii   | rst) (I    | Middle)<br>E <b>YANA</b> | ROAD  | 3. Date of Earliest Transaction (Month/Day/Year) 10/31/2023 |  |                  |  |                    |                                     | 2   | belov  | er (give title<br>v)<br>Chief Med |  | Other (s<br>below)<br>Officer                                      | specify                    |   |                 |            |
| (Street)  |  |            |                          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                      |   |  |                  |  |                    | Line                                | 6. Individual or Joint/Group Filing (Check Applicable Line)   |  |                                   |  |  |                            |   |                 |            |
| SAN DII   | EGO CA   | <b>A</b> 9 | 2121                     |   |   |  |                  |  |                    |                                     |   |  |                                   | 2  | _  | filed by On                |   | Ü               |            |
|   |  |            |                          |   |   |  |                  |  |                    |                                     |   |  |                                   |  | Form filed by More than One Reporting<br>Person                    |                            |   |                 |            |
| (City)  | (St  | ate) (2    | Zip)                     |   | Rul   | Rule 10b5-1(c) Transaction Indication                          |                  |  |                    |                                     |   |  |                                   |  |  |                            |   |                 |            |
|   |  |            |                          |   |   |  |                  |  |                    |                                     | action was m<br>ons of Rule 10                                |  |                                   |  |  | uction or writ             | ten pla   | n that is inter | nded to    |
|   |  | Table      | I - Nor                  | า-Deriva  | tive S  | Secu   | rities           | Acq  | uired,             | Dis                                 | posed of  | , or E   | Bene                              | eficia   | ly Own   | ed                         |   |                 |            |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Date) |  |            |                          | Execu<br>ay/Year) if any  |   | Deemed<br>cution Date,<br>ly<br>nth/Day/Year)                  |                  | 3. 4. Securitie<br>Transaction Disposed (<br>Code (Instr. 8) 5)                                  |                    | es Acquired (A<br>Of (D) (Instr. 3, |   |  | Benefic<br>Owned                  | ties<br>cially<br>I Following  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)  |                            | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |                 |            |
|   |  |            |                          |   |   |  |                  |  | Code               | v                                   | Amount  | (A)<br>(D)   |                                   | Price  | Report<br>Transa<br>(Instr. :                                      | ed<br>ction(s)<br>3 and 4) |   |                 | (Instr. 4) |
| Common Stock 10/31  |  |            |                          | 10/31/  | /2023   |  | F <sup>(1)</sup> |  | 555                | I                                   | D   | \$1.47   | .47 91,332                        |  |  | D                          |   |                 |            |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |            |                          |   |   |  |                  |  |                    |                                     |   |  |                                   |  |  |                            |   |                 |            |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | erivative Conversion Date Execution Date, ecurity or Exercise (Month/Day/Year) if any  |            |                          | 4. Transaction Code (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Ins<br>3 and 4) |                    | (                                   | . Price of<br>Perivative<br>Pecurity<br>Pecurity<br>Instr. 5) | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | у                                 | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                            |   |                 |            |
|   |  |            |                          |   | Code V (A) (D)  |  | Date<br>Exercis  | able   | Expiration<br>Date | Title                               | Amount<br>or<br>Number<br>of<br>Shares                        |  |                                   |  |  |                            |   |                 |            |

## **Explanation of Responses:**

## Remarks:

/s/ Christian Vasquez, as

Attorney-in-Fact for Eric

\*\* Signature of Reporting Person Date

11/01/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>1.</sup> This transaction is not a sale of shares by the Reporting Person. Instead, this represents shares that have been withheld by the Issuer to satisfy its income tax and withholding and remittance obligations in connection with the vesting and net settlement of previously reported restricted stock units.