SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average	burden
hours per response	0.5

Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940										L h	nours per response:	0.5	
1. Name and Address of Reporting Person [*] Sievers Eric				2. Issuer Name and Ticker or Trading Symbol BioAtla, Inc. [BCAB]							ationship of Rep k all applicable) Director Officer (give	, 10% Owne	
(Last) C/O BIOATLA	(First) , INC. 11085	(Middle) FORREYANA	ROAD		e of Earliest Transa 4/2021	action (N	/onth/	'Day/Year)			below)	Medical Officer	w)
(Street) SAN DIEGO	СА	92121		4. If Amendment, Date of Original Filed (Month/Day/Year)							ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip)		Person									
		Table I - Noi	n-Deriva	tive S	ecurities Acq	uired,	Dis	posed of,	or Ber	neficially	y Owned		
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da					2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Followi Reported	6. Ownership Form: Direct (D) or Indirec (I) (Instr. 4)	of Indirect
				Code V Amount (A) or Pri						Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock			06/14/2	021		J ⁽¹⁾		23,726	A	\$0.00	100,649	D	
					curities Acqui IIs, warrants, o						Owned		

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv	r osed) 7. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Distribution of the Issuer's shares of Common Stock by Himalaya Parent LLC, of which the reporting person is a member, for no consideration.

Remarks:

<u>/s/ Christian Vasquez, as</u>
Attorney-in-Fact for Eric
Sievers

06/16/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.