SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

1. Name and Address of Reporting Person [*] <u>Himalaya Parent LLC</u>				2. Issuer Name and Ticker or Trading Symbol BioAtla, Inc. [BCAB]						ationship of Reportir k all applicable) Director	0 ()	Issuer Owner	
(Last) C/O BIOATLA	(First) , INC. 11085 7	(Middle) FORREYANA	0	. Date of Earliest Trans 6/14/2021	action (Month	/Day/Year)		Officer (give title below)	Other below	(specify)		
(Street) SAN DIEGO (City)	CA (State)	92121 (Zip)	4.	4. If Amendment, Date of Original Filed (Month/Day/Year)						 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 			
		Table I - Nor	n-Derivativ	ve Securities Acq	uired	, Dis	posed of, o	or Ber	eficially	/ Owned			
Date			2. Transaction Date (Month/Day/Ye	Execution Date,		action (Instr.				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			v			Amount	mount (A) or (D) P		Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock		06/14/2021	1	J ⁽¹⁾		8,682,304	D	\$0.00	0	D			

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned													
(e.g., puts, calls, warrants, options, convertible securities)														
itle of ivative curity	Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code (Instr.	of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Securities	8. Price of Derivative Security	9. Number of derivative Securities	10. Ownership Form:	11. Nature of Indirect Beneficial			

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	of Expiration Date (Month/Day/Year) Securities Acquired A) or Disposed		7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Distribution of the Issuer's shares of Common Stock by Himalaya Parent LLC to its members, for no consideration.

Remarks:

/s/ Jay M. Short, as Manager 06/16/2021

of Himalaya Parent LLC

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.