SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-028							
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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>MCBRINN SYLVIA</u>					2. Issuer Name and Ticker or Trading Symbol BioAtla, Inc. [BCAB]										all app	onship of Reporting P all applicable) Director		Person(s) to Issuer 10% Owner	
(Last)	(Fii	rst) (I	viddle)		3. Date of Earliest Transaction (Month/Day/Year) 09/08/2023									Office belov	er (give title v)		Other (s below)	specify	
C/O BIOATLA, INC. 11085 TORREYANA ROAD				4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street)														Х	Form	filed by On	e Repo	orting Pers	on
SAN DI	EGO CA	A 9	2121												Form Perso	filed by Mo on	re than	one Rep	orting
(City)	(St	ate) (Z	Zip)		Rule 10b5-1(c) Transaction Indication							n							
						check th atisfy th	is box e affirr	to indic native c	ate that a lefense c	trans	action was m Ins of Rule 10	ade purs Db5-1(c)	suant to a . See Ins	a contra tructior	act, instro 1 10.	uction or writt	ten plan	that is inter	nded to
		Table	I - Non-I	Deriva	tive S	Secur	ities	Acq	uired,	Dis	osed of	, or B	enefic	ally	' Own	ed			
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da			ate	ay/Year) Executio		cution Date,		Transaction Dispose Code (Instr. 5)		Disposed 0	ities Acquired (A d Of (D) (Instr. 3,			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) ((D)	or Pric	e	Transa	ction(s) 3 and 4)			(Instr. 4)
Common	Stock			09/08/2	2023				Р		2,000	A	\$2	.54	11	1,125			
		Tal	ble II - De (e.								osed of, o onvertib				Owne	d			
1. Title of Derivative Security (Instr. 3)	ative Conversion Date Execution Date, ity or Exercise (Month/Day/Year) if any		Date,	4. Transaction Code (Instr. 8) Securit Acquir (A) or Dispos of (D) (Instr. 3 and 5)		rative rities ired r osed) . 3, 4	6. Date E Expiration (Month/I	on Dat		7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4) Amou						LO. Dwnership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Date Exercisable Expiration Date

Explanation of Responses:

Remarks:

<u>/s/ Christian Vasquez, as</u> <u>Attorney-in-Fact for Sylvia</u>

Number

of Shares

Title

09/13/2023

Date

McBrinn ** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A) (D)