SEC Form 4
------------

П

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287										
Estimated average burden											
hours per response	: 0.5										

Check this box if no longer subject	
to Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr Vasquez Chi	•	ng Person <sup>*</sup>	2. Issuer Name <b>and</b> Tick BioAtla, Inc. [ B	0,			tionship of Reporting all applicable) Director	) Person(s) to I 10% C	
(Last)     (First)     (Middle)       C/O BIOATLA, INC. 11085 TORREYANA ROAD       (Street)       SAN DIEGO     CA     92121       (City)     (State)     (Zip)		3. Date of Earliest Trans 11/30/2021	action (Month/Day/Year)	х			ther (specify elow)		
		4. If Amendment, Date c	f Original Filed (Month/D		6. Indiv Line) X				
		Table I - Non-Deriva	tive Securities Acq	uired, Disposed o	of, or Benefi	icially	Owned		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	<ol> <li>4. Securities Acquired (A) or Transaction Disposed Of (D) (Instr. 3, 4 and 8)</li> </ol>			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
	Code V		v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	11/30/2021	12/03/2021	S <sup>(1)</sup>		312	D	\$23.997(2)	26,926	D	
Common Stock	11/30/2021	12/03/2021	<b>S</b> <sup>(1)</sup>		338	D	\$24.676 <sup>(3)</sup>	26,588	D	
Common Stock	11/30/2021	12/03/2021	<b>S</b> <sup>(1)</sup>		118	D	\$25.379 <sup>(4)</sup>	26,470	D	
Common Stock	12/01/2021	12/06/2021	<b>S</b> <sup>(1)</sup>		325	D	\$22.186 <sup>(5)</sup>	26,145	D	
Common Stock	12/01/2021	12/06/2021	S <sup>(1)</sup>		563	D	\$23.266(6)	25,582	D	
Common Stock	12/01/2021	12/06/2021	S <sup>(1)</sup>		123	D	\$24.224 <sup>(7)</sup>	25,459	D	
Common Stock	12/02/2021	12/06/2021	S <sup>(1)</sup>		196	D	\$21.741 <sup>(8)</sup>	25,263	D	
Common Stock	12/02/2021	12/06/2021	S <sup>(1)</sup>		4	D	\$22.471 <sup>(9)</sup>	25,259	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/N	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Represents shares of the Issuer's common stock sold by the reporting person to cover taxes due upon the release and settlement of the vested RSUs.

2. The range of prices for the shares of Common Stock is from \$23.33 to \$24.32. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

3. The range of prices for the shares of Common Stock is from \$24.33 to \$25.25. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

4. The range of prices for the shares of Common Stock is from \$25.30 to \$25.38. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

5. The range of prices for the shares of Common Stock is from \$21.79 to \$22.73. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

6. The range of prices for the shares of Common Stock is from \$22.79 to \$23.77. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

7. The range of prices for the shares of Common Stock is from \$23.80 to \$24.68. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

8. The range of prices for the shares of Common Stock is from \$21.40 to \$22.37. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price

9. The range of prices for the shares of Common Stock is from \$22.40 to \$22.51. The reporting person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.

## Remarks:

/s/ Christian Vasquez

12/07/2021 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.